APPARATUS AND METHOD FOR SECURITY OBJECT ENHANCEMENT AND MANAGEMENT

BACKGROUND OF THE INVENTION

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1.   Technical Field:

The present invention is directed to an improved computing device. More specifically, the present invention is directed to an apparatus and method for security object

10 enhancement and management.

2.   Description of Related Art:

Passwords are used universally to control access to everything from computer resources to bank accounts, burglar

15 alarms, automobiles, television viewing, and the like. Known passwords consist of simple text strings that a user must enter in order to obtain access to a secured item. The entered password is typically checked against the password stored to determine a match. If the entered password and

20 the stored password match, access is granted.

There are many methods used to create passwords, ranging from assigning fixed and constant strings that must be memorized by the user to more elaborate methods allowing the user to recall a password string using a hint phrase,

25 for example. Based on common human factors and tendencies for simplicity in recalling a password, a user may use a familiar but secret text string such as a family tradition, an important date, or even a common word from a dictionary.

Mechanisms for managing passwords must be programmed

30 into the applications with which they are associated. That is, there must be a program external to the password that is

used to authenticate the password, check to see if the
password is about to expire, and determine the access
granted. As a result, every single item, such as a web
site, a computer resource, a bank account, a burglar alarm,

5 and the like, must have password management operations coded
into them to process and authenticate a specific type of
password content. Therefore, the user has no control over
how the password is to be used by the password management
operations. Moreover, the owner/operator of the item with

10 which the password management operations are associated must
make changes directly to the code of the password management
operations if she wishes to change the way in which
passwords are used.

Furthermore, each item typically can only accept a

15 single type of password content. Thus, there is very little
freedom on the part of the user to define her own password,
password content type, and how the password is to be used.
Moreover, there is little freedom, due to the expense and
effort required to modify password management operations, on

20 the part of the owner/operator to allow different types of
password contents and different usage of passwords.
Therefore, it would be beneficial to have an apparatus and
method for password enhancement and management in which
users and owner/operators are provided greater freedom in

25 defining passwords and the manner in which the passwords are
used.

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SUMMARY OF THE INVENTION

The present invention provides an apparatus and method
for security object enhancement and management. The
5 apparatus and method makes use of security objects in which
security object data is encapsulated with security object
attributes and methods defining the way in which the
security object may be used. Because the security object is
an encapsulated object, the security object may operate on
10 itself to perform security object management operations.
Furthermore, because the security object need not be linked
directly to the items requiring security object protection *a
priori*, the security object may be defined in any manner
deemed appropriate by the user.

15 As a result, users of the security objects are given
greater freedom in defining the security object, the
security object content type, and the way in which the
security object may be used. In addition, since the user
defines his/her own security object, and the security system
20 using the security object acts as simply a repository for
security objects, much of the liability of maintaining
security is offloaded from the owner/operator of the
security system, such as a financial institution, electronic
marketplace, electronic business, secured building or
25 facility, and the like, onto the individual users. The
owner/operator of the security system need only maintain the
object in a secured manner within the repository. These and
other features and advantages of the present invention will
be described in, or will become apparent to those of
30 ordinary skill in the art in view of, the following detailed
description of the preferred embodiments.

BRIEF DESCRIPTION OF THE DRAWINGS

The novel features believed characteristic of the invention are set forth in the appended claims. The
5 invention itself, however, as well as a preferred mode of use, further objectives and advantages thereof, will best be understood by reference to the following detailed description of an illustrative embodiment when read in conjunction with the accompanying drawings, wherein:

10 **Figure 1** is an exemplary diagram illustrating a distributed data processing system in accordance with the present invention;

Figure 2 is an exemplary diagram illustrating a server data processing device in accordance with the present
15 invention;

Figure 3 is an exemplary diagram illustrating a client data processing device in accordance with the present invention;

Figure 4 is an exemplary diagram of a security object
20 according to the present invention;

Figure 5 is a diagram illustrating how the security object of the present invention may be used with a stimulus to determine whether and what type of access may be granted;

Figure 6 is a flowchart outlining an exemplary
25 operation of the present invention when generating a security object;

Figure 7 is a flowchart outlining an exemplary operation of the present invention when using a security object;

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Figure 8 is a flowchart outlining an exemplary operation of the present invention with a limited number of times of usage security object;

Figure 9 is a flowchart outlining an exemplary
5 operation of the present invention with a group security object;

Figure 10 is a flowchart outlining an exemplary operation of the present invention with a timed security object;

10 **Figure 11** is a flowchart outlining an exemplary operation of the present invention with a concurrent multi-user security object;

Figure 12 is a flowchart outlining an exemplary operation of the present invention with a security object
15 throttle;

Figure 13 is a flowchart outlining an exemplary operation of the present invention with a translated security object;

Figure 14 is a flowchart outlining an exemplary
20 operation of the present invention with a security object augmented by CPU ID/Speed/System Configuration; and

Figure 15 is a flowchart outlining an exemplary operation of the present invention with a security object valid from specific locations.

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DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

The security object according to the present invention may be implemented in any device that uses security object protection to provide security. Such devices may include, for example, automobiles, computer resources, a server device, a client device, a stand alone computing device, security systems, banking systems, television devices, mobile telephones, and the like. In short, any device that has a data processing capability may make use of the present invention without departing from the spirit and scope of the present invention.

The present invention may be used by any business or non-business entity that is interested in maintaining security while reducing liability and providing user's with greater freedom in defining their personal type and level of security. For example, business entities such as financial institutions, credit card companies, electronic marketplaces, electronic businesses, parking garages, hotels, automobile rental businesses, personal storage facilities, and the like, may make use of security systems in which security objects according to the present invention are utilized. Moreover, non-business entities, such as government facilities, secured buildings, and the like, may also make use of security objects according to the present invention.

In addition to business and non-business entities, individual users may make use of the present invention to secure their personal contents. For example, the present invention may be used by individual users to secure automobiles, computer systems, houses, apartments, storage containers, safes, and the like. In short, the present

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invention may be used anywhere contents are to be secured,
whether those contents be physical or electronic. Thus, the
present invention may be used in replacement of or in
conjunction with passwords, personal identification numbers
5 (PINs), physical keys, keycards, and other security
mechanisms.

   With the present invention, a user defines her own
security data and the type of that security data, such as
audio data, graphical data, textual data, biometric data,
10 and the like. The user and/or security system may define
the manner by which the security data is used. The security
data, attributes defining characteristics of the security
data, and methods for operation on the security data are
encapsulated into a security object which may then be used
15 with a security system for controlling access to secured
contents.

   The mechanism for defining the security object may be
provided locally, such as on a client computing device, or
remotely, such as by a remotely located server. When
20 provided locally, a security object foundry application may
be provided on the client computing device through which a
user may define the security object to be used with one or
more security systems. This security object may then be
provided to the security system for later use in verifying
25 input data received from the user during an attempt to
access secured contents.

   When provided remotely, the security object foundry
application may be located on the remote server. The remote
server may provide one or more interfaces through which a
30 user may define the security object. Such interfaces may be
provided, for example, as Web pages, applets, electronic
forms, and the like. The remote server may be part of a

business or non-business entity's computing system through which a user may gain access to secured contents associated with the business or non-business entity.

As touched on above, one exemplary environment for
5 which the present invention is exceptionally suited is a distributed data processing system in which client computing devices communicate with server computing devices. Thus, this environment will be used as an illustration of the features of the present invention. The use of a distributed
10 data processing system in the following descriptions is not intended to imply any limitation of the present invention to such an environment and any computing environment may be used with the present invention without limitation.

With reference now to the figures, **Figure 1** depicts a
15 pictorial representation of a network of data processing systems in which the present invention may be implemented. Network data processing system **100** is a network of computers in which the present invention may be implemented. Network data processing system **100** contains a network **102**, which is
20 the medium used to provide communication links between various devices and computers connected together within network data processing system **100**. Network **102** may include connections such as wire, wireless communication links, or fiber optic cables.

25 In the depicted example, server **104** is connected to network **102** along with storage unit **106**. The server **104** may be a reservation server that is capable of making reservations in accordance with the present invention, as described in greater detail hereafter.

30 In addition, clients **108**, **110**, and **112** are connected to network **102**. These clients **108**, **110**, and **112** may be, for

example, personal computers, personal digital assistants, (PDAs), cellular telephones, wireless two-way pagers, network computers, or any other computing device capable of communication via the network **102**. In a preferred

5 embodiment, these client devices **108, 110** and **112** are modified to include software instructions for implementing client side operations of the present invention, as described hereafter. However, the present invention is not limited to such an embodiment and all of the operations of

10 the present invention may be implemented in server **104** without departing from the spirit and scope of the present invention.

In the depicted example, server **104** provides data, such as boot files, operating system images, and applications, to

15 clients **108-112**. Clients **108, 110**, and **112** are clients to server **104**. Network data processing system **100** may include additional servers, clients, and other devices not shown. In the depicted example, network data processing system **100** is the Internet with network **102** representing a worldwide

20 collection of networks and gateways that use the TCP/IP suite of protocols to communicate with one another. At the heart of the Internet is a backbone of high-speed data communication lines between major nodes or host computers, consisting of thousands of commercial, government,

25 educational and other computer systems that route data and messages. Of course, network data processing system **100** also may be implemented as a number of different types of networks, such as for example, an intranet, a local area network (LAN), or a wide area network (WAN). **Figure 1** is

30 intended as an example, and not as an architectural limitation for the present invention.

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Referring to **Figure 2,** a block diagram of a data
processing system that may be implemented as a server, such
as server **104** in **Figure 1,** is depicted in accordance with a
preferred embodiment of the present invention. Data
5 processing system **200** may be a symmetric multiprocessor
(SMP) system including a plurality of processors **202** and **204**
connected to system bus **206.** Alternatively, a single
processor system may be employed. Also connected to system
bus **206** is memory controller/cache **208,** which provides an
10 interface to local memory **209.** I/O bus bridge **210** is
connected to system bus **206** and provides an interface to I/O
bus **212.** Memory controller/cache **208** and I/O bus bridge **210**
may be integrated as depicted.

Peripheral component interconnect (PCI) bus bridge **214**
15 connected to I/O bus **212** provides an interface to PCI local
bus **216.** A number of modems may be connected to PCI local
bus **216.** Typical PCI bus implementations will support four
PCI expansion slots or add-in connectors. Communications
links to clients **108-112** in **Figure 1** may be provided through
20 modem **218** and network adapter **220** connected to PCI local bus
216 through add-in adapter cards.

Additional PCI bus bridges **222** and **224** provide
interfaces for additional PCI local buses **226** and **228,** from
which additional modems or network adapters may be
25 supported. In this manner, data processing system **200**
allows connections to multiple network computers. A
memory-mapped graphics adapter **230** and hard disk **232** may
also be connected to I/O bus **212** as depicted, either
directly or indirectly.

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Those of ordinary skill in the art will appreciate that the hardware depicted in **Figure 2** may vary. For example, other peripheral devices, such as optical disk drives and the like, also may be used in addition to or in place of the
5 hardware depicted. The depicted example is not meant to imply architectural limitations with respect to the present invention.

The data processing system depicted in **Figure 2** may be, for example, an IBM e-Server pSeries system, a product of
10 International Business Machines Corporation in Armonk, New York, running the Advanced Interactive Executive (AIX) operating system or LINUX operating system.

With reference now to **Figure 3,** a block diagram illustrating a data processing system is depicted in which
15 the present invention may be implemented. Data processing system **300** is an example of a client computer. Data processing system **300** employs a peripheral component interconnect (PCI) local bus architecture. Although the depicted example employs a PCI bus, other bus architectures
20 such as Accelerated Graphics Port (AGP) and Industry Standard Architecture (ISA) may be used. Processor **302** and main memory **304** are connected to PCI local bus **306** through PCI bridge **308**. PCI bridge **308** also may include an integrated memory controller and cache memory for processor
25 **302.** Additional connections to PCI local bus **306** may be made through direct component interconnection or through add-in boards. In the depicted example, SCSI host bus adapter **312** and expansion bus interface **314** are connected to PCI local bus **306** by direct component connection. In
30 contrast, local area network (LAN) adapter **310,** audio adapter **316,** graphics adapter **318,** and audio/video adapter

319 are connected to PCI local bus **306** by add-in adapter cards inserted into expansion slots. Expansion bus interface **314** provides a connection for a keyboard and mouse adapter **320,** modem **322,** and additional memory **324.** Small

5 computer system interface (SCSI) host bus adapter **312** provides a connection for hard disk drive **326,** tape drive **328,** and CD-ROM drive **330.** Typical PCI local bus implementations will support three or four PCI expansion slots or add-in connectors.

10   An operating system runs on processor **302** and is used to coordinate and provide control of various components within data processing system **300** in **Figure 3.** The operating system may be a commercially available operating system, such as Windows 2000, which is available from

15 Microsoft Corporation. An object oriented programming system such as Java may run in conjunction with the operating system and provide calls to the operating system from Java programs or applications executing on data processing system **300.** "Java" is a trademark of Sun

20 Microsystems, Inc. Instructions for the operating system, the object-oriented programming system, and applications or programs are located on storage devices, such as hard disk drive **326,** and may be loaded into main memory **304** for execution by processor **302.**

25   Those of ordinary skill in the art will appreciate that the hardware in **Figure 3** may vary depending on the implementation. Other internal hardware or peripheral devices, such as flash ROM (or equivalent nonvolatile memory) or optical disk drives and the like, may be used in

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addition to or in place of the hardware depicted in **Figure 3**. Also, the processes of the present invention may be applied to a multiprocessor data processing system.

As another example, data processing system **300** may be a

5 stand-alone system configured to be bootable without relying on some type of network communication interface, whether or not data processing system **300** comprises some type of network communication interface. As a further example, data processing system **300** may be a Personal Digital Assistant

10 (PDA) device, which is configured with memory and/or flash memory in order to provide non-volatile memory for storing operating system files and/or user-generated data.

The depicted example in **Figure 3** and above-described examples are not meant to imply architectural limitations.

15 For example, data processing system **300** also may be a notebook computer or hand held computer in addition to taking the form of a PDA. Data processing system **300** also may be a kiosk or a Web appliance.

The present invention provides a mechanism by which

20 security objects are created and managed. The security objects include security object data, attributes and methods for using the security object data and attributes in managing the security object. Objects are independent program modules written in object-oriented programming

25 languages. Similar to the way in which hardware components are routinely designed as modules to plug into and work with each other, objects are software components designed to work together at runtime without any prior linking or pre-compilation as a group.

30 With objects it does not matter which source programming language is used to generate the objects or

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which computer on the network they are running in. They are
designed to interoperate strictly through the messages
passed between them. Objects are encapsulated, meaning that
they are self-sufficient modules that contain the data and
5 the processing for the data, e.g., the data structure and
functions that manipulate that data.

   An object is created from a class of data types. A
class is a user-defined data type that defines a collection
of objects that share the same characteristics. The class
10 contains methods used by objects in the class. Methods are
the processing that an object performs. For example, in a
payroll system, a class could be defined as Manager, and Pat
and Jan, the actual objects, are instances of that class.
Pat and Jan will have methods associated with them which are
15 obtained from the user-defined class.

   The present invention uses a security object class or
classes to generate security objects. The security object
includes the security object data, the security object
attributes, and the methods used to manage the use of the
20 security object. The security object data contains the
information that defines the security object. This
information may include a text string, a voiceprint, a
fingerprint, a retina pattern, other types of biometric
data, a series of tones, a combination of inputs, a rolling
25 code or any other data that may be used to identify an
authorized user.

   The security object attributes include information
about the security object data including, for example, the
type of security object content data, how the security
30 object data was generated, expiration times of the data, how
many users can use the security object at a same time, and
any other attribute information. The attribute information

and the security object data are operated on by the encapsulated methods which define the processing of the security object data, attributes, and any parameters passed to the object.

5   Because the security object is a self contained object, the applications that invoke the object need not be hard coded with routines and the like, to process the object. Moreover, since the applications that invoke the object need not be hard coded, the types of security object contents

10 that may be used is not limited to one type. Rather, the user of the security object is able to designate the type of security object content and further, the manner by which the security object may be used. As a result, the various permutations necessary to decipher the security object is

15 made sufficiently great so as to deter unauthorized users from attempting to decipher the security object.

Typically, in known password based security systems, the security system defines the type of password content and the way in which the password is used. For example, the

20 security system may designate that passwords consisting of alphanumeric characters between 6 and 25 characters long may be used as passwords. Furthermore, the security system may designate that the password is used to obtain access to certain resources. Of course, the programs that are used to

25 perform the functions of the security system must be coded, thereby requiring extra expense to the owner or operator of the security system in their creation and implementation and also reducing the flexibility of the security system.

The present invention eliminates the drawbacks of the

30 known systems by using security objects. By using security objects, a user may define her own security object which may be of any type. Thus, the user is not limited to the

particular type of security object content that is hard coded into the source security system. Moreover, the user may define how the security object is to be used, e.g., what methods may be invoked on the security object.

5   In addition, the source of the security concern, i.e. the place or source that wishes to impose security restrictions, need not hard code procedures for implementing various types of security object contents. Because the security object is a self contained object that contains

10 both the security object data and the methods for execution on the data passed to the object, the source security systems need not have any special algorithms for handling the particular type of security object content.

Figure 4 is an exemplary diagram illustrating how a

15 security object may be generated. As shown in **Figure 4**, a security object class **490** is defined that includes a plurality of methods **492-496** that may be used to process the security object data **410**. The class is comprised of variables that designate the state of the class and methods

20 that designate the types of messages that the class can operate on and the operations that are performed.

An instance of the security object class **490** may be created by taking security object data **410** and attribute data **420-430** associated with the security object data **410**

25 and encapsulating it with the methods **440-450** obtained from the security object class **490**. Although security object data **410** is shown as a separate component from attribute data **420-430**, in actuality, the security object data **410** is a special attribute of the security object. Instantiation

30 of objects from classes is generally known in the art and

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therefore, a detailed description of such will not be
offered here.

5 The attributes **420-430** identify the state of the
security object, and the security object data **410** is the
security object itself. The methods **440-450** operate on
messages sent to the security object **400** requesting the
object be used in a certain manner or perform certain
processing on itself. The methods **440-450** are typically
obtained from the methods **492-496** of the class. The
10 security object **400** may be stored for later use in
authenticating and controlling access to secured contents
such as system resources, user accounts, physical
containers, vehicles, dwellings, and the like.

As previously mentioned, the security object **400** may be
15 created and used by a client device, a server device, system
resources, or any combination of the above, in a distributed
data processing system such as that shown in **Figure 1** or a
stand-alone system. For example, a user of a client device
may define her own security object using her client device
20 and upload this security object to a server or resource.
Alternatively, a server may create a security object by
requesting a user of a client device to provide the security
object data, and possibly one or more security object
attributes, which is then encapsulated into a security
25 object by the server.

The security object is preferably stored in association
with a user identification so that the security object may
be retrieved when a user entering her user identification
attempts to gain access to a particular system resource.
30 Thus, for example, if a user were to enter her user
identification and security object data into her client

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After having received the security object data, and
optionally one or more attributes and one or more method
selections, the server or client security object foundry may
encapsulate the security object data and the one or more
5   attributes and methods into a security object. Such
encapsulation may include the server or client application
providing additional attributes or methods for the security
object that are not specifically selected or provided by the
user.

10   For example, assume that a user provides security
object data, an attribute indicating the type of security
object content, e.g., voiceprint, fingerprint, series of
tones, text string, etc., and a maximum number of users that
may simultaneously log on using this security object. The
15   server application may take this security object data and
the attributes and encapsulate them with additional
attributes and/or methods indicating that users that log
onto the server with this security object are given a low
level of access to system resources, they are limited to a
20   specific period of time for accessing resources, and are not
allowed to access the system resources on weekends. These
additional attributes are added to the attributes supplied
by the user and encapsulated into a security object.

Alternatively, the user may submit the security object
25   data and the user selected attributes to the server
application as a security object. Additional attributes
and/or methods may still be added by the server as a further
encapsulation of the security object by wrapping the
additional attributes around the security object submitted
30   by the user. Such wrapping is available due to the
inheritance provided by objects. That is, the methods in
the security object will be inherited by the resultant

object which includes the security object and the wrapped additional attributes and/or methods.

When a user attempts to gain access to a system resource, the user enters her user identification and
5 security object data input, hereafter referred to as the "stimulus," into an interface on his client device **510**. The interface may be, for example, a GUI, a HyperText Markup Language (HTML) web page, an HTML form having fields for entry of user identification and security object data, and
10 the like. The client device **510** then transmits this stimulus to the server **520**.

In response to receiving the stimulus from the client device **510**, the server **520** retrieves a security object from the storage device **530** corresponding to the user
15 identification. The server **520** then sends the security object a message requesting that the security object perform certain functions on itself using the security object data entered by the user. Such functions may include, for example, authentication, determination of access level, and
20 the like. The functions performed may be any functions to which a security object may be applied. The functions are performed by the methods encapsulated in the security object.

By encapsulating security data and code into a security
25 object using the present invention, there are a number of advantages obtained over conventional security methods. First, the user may define her own security object without limitations placed on her by the server applications. Because the security object is a self-contained object that
30 does not need to be linked to the server application, the security object may contain any type of security object

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content data deemed appropriate by the creator of the
security object. Therefore, the user that creates the
security object may designate that she wants her security
object to be a text string, a voiceprint, a fingerprint, a
5 retina pattern, a text string followed by a swipe of a
credit card in a credit card reading apparatus, a text
string and a set of Global Positioning System (GPS)
coordinates, a series of tones, etc. and various
combinations of these. The server application need not be
10 concerned with the type of security object content provided
by the user.

   As a result of the above, the user is given greater
freedom in defining the security content and security level
that she wishes to use. Moreover, security of the security
15 object is increased since the number of permutations
necessary to decipher a security object that may take many
different forms is dramatically increased. Moreover, server
applications are not now limited to using only one type of
security object content to provide security object
20 protection on system resources. Rather, the server
applications may use any security object content type that
users wish to provide.

   In addition, the user may set various attributes
regarding the use of the security object and the server
25 application, or entity allowing use of the security object
to access resources, may set various other attributes
regarding the use of the security object. The methods of
the security object operate on the attributes and any data
passed to the object by external messages, to thereby make
30 use of the security object.

   An important advantage for owners/operators of security
systems that make use of the security objects of the present

invention is that liability for maintaining security is reduced. Since the user is the one defining the security object, and thus, the level of security, much of the liability associated with maintaining security is shifted to

5 the user. The owners/operators of the security systems must still maintain the security objects themselves in a secure manner, however. Thus, the owners/operators of the security system essentially become a secure repository for security objects and are relieved of the burden and liability of

10 maintaining a complex security system.

Figure 6 is a flowchart outlining an exemplary operation of the present invention when defining a security object. As shown in **Figure 6,** the operation starts with the definition of a security object class (step **610**). The

15 defining of a security object class may include defining various attributes of the class, i.e. variables identifying a state, and methods of the class, i.e. processes that may operate on the attributes and data of the class. Security object data is input (step **620**) and one or more attributes

20 are set (step **630**). The security object data and one or more attributes are then encapsulated into an instance of the security object class, i.e. a security object (step **640**). The security object is then stored for later use (step **650**). Storage of the security object may include

25 uploading the security object to a server or remote device which is to use the security object to provide access to secured items.

Figure 7 is a flowchart outlining an exemplary operation of the present invention when using the security

30 object to determine whether to grant access to a secured item. As shown in **Figure 7,** the operation starts with

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receiving a stimulus, e.g., a user identification and user
provided security object data input (step **710**). The stored
security object is retrieved, e.g., based on the user
identification (step **720**). The security object data input

5 received from the user is then passed to the security object
in a message requesting authentication of the security
object data (step **730**). The methods of the security object
then operate on the security object data input, the security
object data stored in the security object, and the

10 attributes of the security object to determine if access
should be granted (step **740**). The security object returns a
message to the invoking application indicating the results
of applying the security object to the security object data
input (step **750**). Based on the return message, the

15 application determines whether to grant access or deny
access (step **760**). The operation then ends.

Thus, the present invention provides a flexible
security object enhancement and management mechanism by
which users may designate their own types of security

20 objects and applications using the security objects may make
use of many different types of security object types. In
addition, the present invention allows the processing of the
security object to be encapsulated with the security object
data such that applications making use of the security

25 object of the present invention need not be hard coded with
instructions for using each type of security object data.

Of course there are many modifications that may be made
to the preferred embodiments described above without
departing from the spirit and scope of the present

30 invention. For example, rather than having all of the
methods for implementing the security object being

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encapsulated in the security object, a partial set of methods may be provided. This partial set of methods may be matched with methods in the security system that makes use of the security object to thereby provide the complete set

5 of methods necessary to implement the security object. In this way, additional security is provided in that the security object will only operate correctly when matched with the appropriate complementary partial set of methods in the security system.

10 In addition, the methods in the security object may require hardware assistance to operate on security object data passed to the security object for authentication. For example, the security object may require that a hardware encryption device be present in the system applying the

15 security object to the security object data input.

Moreover, it should be noted that the present invention provides a portable security mechanism that may be used with a number of different security systems. For example, the security object data may be stored in any device that has a

20 data storage capability, i.e. an electronic medium, and a means by which the security object data may be transferred to a computing device having a security system in which the security object data is resident. Some possible storage devices for the security object of the present invention

25 include keychains with small memories and transmitters, portable MP3 players, mobile telephones, pagers, electronic wrist watches, remote controls, garage door transmitters, keyless entry devices for vehicles, smartcards, magnetic stripe cards, and the like. All of the possible storage

30 devices are too numerous to set forth herein.

The following exemplary embodiments of the present invention are offered to illustrate the versatility of the

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present invention in providing flexible security object usage. These exemplary embodiments are provided merely as illustrations and are not intended to imply any limitation on the use of the security object according to the present

5 invention.

It should be kept in mind that the functions described hereafter with regard to the exemplary embodiments are performed by the methods encapsulated in the security object. Thus, there is no need to hard code the computing

10 systems that make use of the security object with instructions for performing these functions. Furthermore, the functions described hereafter may be combined in any manner to obtain even greater security without departing from the spirit and scope of the present invention.

15

Security objects that May be Used Only a Limited Number of Times

The present invention may be used to generate single

20 use security objects or security objects that may be used only a limited number of times. With such security objects, after the security object is used once or the predetermined number of times, the security object is exhausted and cannot be used again. Such security objects may be beneficial as

25 access mechanisms that are provided to transient users.

For example, a single use security object may be provided to a repairman that must gain entry into a house protected by a security object based security system. The owner of the house may generate a security object using the

30 present invention as implemented in the computing device of the security system. The security object data, i.e. the text string, spoken word, etc., may then be provided to the

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repairman. Once the repairman uses the security object to
gain entry to the home once, the security object cannot be
used again. In this way, the home may remain secure to
subsequent attempts to enter the house even though a
5 security object has been released to an unsecure party and
if the password is used again in an attempt to gain access,
an alarm may be triggered or access may be denied.

Figure 8 is a flowchart outlining an exemplary
operation for using a security object having a limited
10 number of times of usage. In order to provide such a single
use or limited number of times of usage security object, the
user may enter the security object data into the computing
system and the attribute identifying the number of times the
security object may be used. This information is then
15 encapsulated by the computing system into a security object
as previously described.

Thereafter, when the repairman, or the like, enters a
security input into the computing system, for example, via a
number pad on the security system control box, to gain
20 access to the secured item, e.g., the house, the security
input is passed to the security object with a message
requesting that the security object authenticate the
security input (step **810**). The methods of the security
object then operate on the security object data stored in
25 the security object, the security object attributes, and the
security input to determine if access should be granted.
Such a determination may be made by the methods by examining
the attribute indicating the number of times the security
object may be used and another attribute of the security
30 object that indicates the number of times the security
object has been used (step **820**).

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A comparison is made between the two attributes (step **830**). If the first attribute is equal to or greater than the second attribute (step **840**), a method of the security object is invoked to check to determine if the security

5 input entered by the repairman matches the security object data stored in the security object (step **850**). If so, the repairman is provided access to the house (step **860**). Otherwise, if the first attribute is less than the second attribute, or the security input does not match the security

10 object data in the security object, access is denied (step **870**).

Group Security objects

15 The present invention may be used to provide group security objects but still provide individual security to the members of the group. Such group security objects may be hierarchical such that they provide subgroups that may be defined to provide additional security. For example, the

20 group security object of the present invention may include a group part and an individual part. The group part would be common for all members of the group. However, the individual part would be different for each individual member of the group.

25 As an example, consider a security object that designates a group part to be the alphanumeric string "Password." This group part may be selected by a leader or person of authority in the group. Only this person is provided with access to change the group part of the

30 security object.

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On the other hand, each individual user may have a user defined part of the security object. Thus, for example, a first member of the group, Bill, might designate his individual part of the security object to be a voiceprint,

5 while a second member of the group, Jill, might designate their individual part to be a JPEG image.

When the user makes use of the security object, the user must enter the group part and the individual part. The security object operates on both parts to authenticate the

10 data passed to the security object and provide appropriate processing.

Figure 9 is an exemplary flowchart outlining an exemplary operation for using a group security object. In order to provide such a group security object, a leader or

15 person of authority may designate a group part of the group security object and each member of the group may enter their individual parts into their own version of the group security object along with any attributes associated with their individual parts of their version of the group

20 security object. This information is then encapsulated by into a group security object that may be used by the individual, as previously described.

Thereafter, as shown in **Figure 9,** when the user attempts to make use of the group security object, the user

25 enters the group part and their individual part of the group security object (step **910**). A check is made to determine if the group part entered by the user matches the stored group part of the group security object (step **920**). If not, access is denied (step **930**) and the operation ends.

30 If the group part entered by the user does match the group part stored in the group security object, a check is

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made as to whether the individual part entered by the user
matches the individual part stored in the group security
object (step **940**). If not, again access is denied (step
930) and the operation ends. If, however, the individual
5 part entered by the user does match the individual part
stored in the group security object, access is granted (step
940) and the operation ends.

Timed Security objects
10

The security objects of the present invention may be
used to provide timed access to secure contents. For
example, the security objects of the present invention may
be valid only during a specified time period. This
15 specified time period may be a single time period, e.g., the
security object is only good between 9 am and 11 am on April
23, 2001, a periodic time period, e.g., the security object
is only good between 9 am and 11 am Monday through Friday,
or multiple time periods, e.g., the security object is good
20 on April 23, April 27, and May 5-7.

In order to provide a timed security object, the user
of the object must provide the security object data and one
or more attributes identifying the time(s) when the security
object is valid. The methods for verifying the security
25 object data and the valid times are provided by the defined
class of security object and are encapsulated with the
security object data and attributes.

Thereafter, processing of security object data entered
by a user is performed in the manner shown in **Figure 10**. As
30 shown in **Figure 10**, the processing starts with receipt of
the user input data (step **1010**). A current time and/or date

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is obtained from a system clock (step **1020**). The current

time and/or date is processed by methods in the security

object to determine if the current time and/or date falls

within the time period(s) that the security object is valid

5 (step **1030**). If not, access is denied (step **1040**) and the

operation ends.

If the current time and/or data falls within the valid

time period(s), a check is made to determine if the user

input data matches the security object data stored in the

10 security object (step **1050**). If not, again access is denied

(step **1040**) and the operation ends. If there is a match,

access is granted (step **1060**), and the operation ends.

Concurrent Multi-User Security objects

15

The present invention may be used to provide concurrent

multi-user security objects. A concurrent multi-user

security object is a security object that is composed of

parts from different users. For example, a joint bank

20 account may require "signatures" of both parties to which

the joint bank account belongs.

The entry of the users' inputs to the multi-user

security object may be local or remote. That is, for

example, each user may be located at the same place or may

25 be remotely located from one another and transmit their

inputs to the security system making use of the multi-user

security object of the present invention. With the

multi-user security object of the present invention, an

input from a first party places the security object in an

30 "in-progress" state awaiting input from the other party or

parties. Only when inputs from all the required parties are

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obtained will the methods of the security object be invoked
on the inputs and the security object data stored therein.

In order to create such a multi-user security object,
each user must submit their portion of the security object
5 data and any attributes associated with their portion of the
security object data. The methods used to operate on the
inputs passed to the multi-user security object and the
security object data are obtained from the multi-user
security object class. These methods and the security
10 object data and attributes are encapsulated and provided to
the security system that makes use of the multi-user
security object. Thereafter, the multi-user security object
may be used in the manner outlined in **Figure 11.**

Figure 11 is a flowchart outlining the operation of the
15 multi-user security object of the present invention. As
shown in **Figure 11,** the operation starts with receiving an
input from a user (step **1110**). A check is made to see if
all party inputs have been received (step **1120**). If not,
the operation waits for another input from a user (step
20 **1130**) and returns to step **1110** when a user input is
received.

If all party inputs have been received, the operation
then compares the inputs received with the multi-user
security object data (step **1140**). A determination is made
25 as to whether any of the inputs do not match the security
object data (step **1150**). If any do not match, access is
denied (step **1160**) and the operation ends. If all match the
security object data, access is granted (step **1170**) and the
operation ends.

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Security Object Throttle

The present invention may be implemented as a security
object throttle in which access is granted only after
5 particular time intervals. Such a security object may be
useful in thwarting attempts to crack the security object
using brute force, such as by a dictionary word entry
method. In addition, such a security object may be useful
to service providers that wish to use it as a mechanism for
10 performing load-balancing by allowing users access to a
service only on a periodic basis.

The security object throttle only allows access only on
a prescribed period. For example, only n-uses of the
security object per day, usage only every other hour, and
15 the like. Once a security object is used, it cannot be
reused until the prescribed period has elapsed.

In order to provide a security object throttle, the
user must provide the security object data and one or more
attributes identifying the time intervals at which the
20 security object is valid. This security object data and
attributes are encapsulated with one or more methods for
performing functions on the security object data, attributes
and any inputs passed to the security object. These methods
may be obtained from the security object throttle class.
25 Thereafter, the security object may be used by a security
system in the manner described in **Figure 12.**

As shown in **Figure 12,** the operation starts with
receipt of user input (step **1210**). A current time and/or
date is obtained from a system clock (step **1220**). The
30 current time and/or date is compared to a last time of usage
of the security object to obtain a difference (step **1230**).

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A check is made to determine whether the difference is
greater than the designated time interval in the attributes
of the security object (step **1240**). If not, access is
denied (step **1250**) and the operation ends. If so, access is
5 granted (step **1260**) and the operation ends.

Translated Security objects

The present invention may further be used to provide
10 translated security objects. With such security objects,
voice or text security object data may be entered in a first
language, the security object may translate this voice or
text security object data into a second language, and uses
the translated voice or text security object data in the
15 second language as the security object data. Such a
security object allows for group security objects in which
members of the group speak or read different languages. The
voice or text security object data is unique for each
language, yet the end-result is the same password for all
20 members of the group. For example, if the voice security or
text object data comprises the words "thank you" in English,
another member of the group may enter "gracias" and still be
authenticated because the word "gracias" is Spanish for
"thank you."
25 In order to provide such a security object, a user may
designate the standard language to which entered voice or
text security object data is to be translated and provide
the voice or text security object data. Thereafter,
individual users, such as in a group security object, may
30 enter their own voice or text input data and a designation
of the language of their voice or text input. The voice or

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text input may then be translated to the designated standard language and compared to the security object data. If there is a match, access is granted.

Figure 13 is a flowchart outlining the above operation.
5 As shown in **Figure 13,** the operation starts with receipt of a user input designating a voice or text input and a language of the voice or text input (step **1310**). The input is translated to the designated standard language (step **1320**). The input is then compared to the security object
10 data (step **1330**). A determination is made as to whether there is a match (step **1340**). If not, access is denied (step **1350**) and the operation ends. If so, access is granted (step **1360**) and the operation ends.

15 Security Objects Augmented By CPU ID/Speed/System Configuration

The present invention may be used to provide security objects that permit access only from certain computers, only
20 with computing devices having a specific CPU speed, computing devices having a specific configuration. With such a security object, a user may either enter the security object data directly into the security foundry when creating the security object or the security foundry may obtain this
25 information automatically from the computing device being used by the user. This information is then encapsulated as security object data with appropriate attributes and methods. Thereafter, when the user wishes to gain access to secure contents the user may invoke the security object at
30 which time the operation in **Figure 14** is performed.

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As shown in **Figure 14,** the operation starts with an invocation of the security object (step **1410**). The security object retrieves system configuration information, such as the CPU ID, CPU speed, and/or the actual hardware

5 configuration of the user's computing device (step **1420**). This configuration information is then compared to the stored security object data (step **1430**). A determination is made as to whether the system configuration information matches the stored security object data (step **1440**). If

10 not, access is denied (step **1450**) and the operation ends. If so, access is granted (step **1460**) and the operation ends.

<u>Security Objects for Specific Locations</u>

15 The present invention may be used to provide security objects that are keyed to the particular location of the user. With this embodiment, when a user generates a security object, the location of the user's computing device may be entered by the user or obtained automatically from a

20 location determination device, such as a global positioning system (GPS) or the like. This location information may then be used as the security object data and encapsulated with appropriate attributes and methods. Thereafter, the operation shown in **Figure 15** may be used by the security

25 object.

As shown in **Figure 15,** the operation starts with an invocation of the security object (step **1510**). A current location of the user's computing device is retrieved (step **1520**). This may be done by, for example, requesting the

30 location information for a GPS device associated with the user's computing device. The current location is then

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compared to the stored security object data (step **1530**). A
determination is made as to whether the two quantities match
(step **1540**). If not, access is denied (step **1550**) and the
operation ends. If so, access is granted (step **1560**) and

5 the operation ends.

Similar functionality as that shown in **Figures 9-16** may
be provided with other sources of security object data
including a current window and/or pointer position on a
user's computing device; an Internet Protocol (IP) address

10 of the user's computing device; a screen background image,
intensity, color, or the like, of a user's computing device,
a personal identification number entered by a user and a
speed or number of times of a card swipe of a magnetic
stripe card or smart card by the user, a user's mobile

15 telephone ring tone or mobile telephone identification
number, caller identification information for a user,
current environmental conditions of a user's surroundings,
and the like. Of course other embodiments are possible as
well without departing from the spirit and scope of the

20 present invention.

Thus, the present invention provides a versatile
security object that may be easily contained in any type of
device have data storage and transmission capabilities. The
security object may be used to authenticate any type of

25 security data without limitation. By using the security
object of the present invention, a security system need not
be made aware of the type of security object data being
authenticated or coded with routines for handling the
security object data. Because the possibilities for the

30 types of security object data and their usages is
limitless,the ability to "crack" the security object for a

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user is made extremely difficult.

In addition, since the user defines his/her own security object, and the security system acts as simply a repository for security objects, much of the liability of

5 maintaining security is offloaded from the owner/operator of the security system onto the individual users. The owner/operator of the security system need only maintain the object in a secured manner within the repository.

It is important to note that while the present

10 invention has been described in the context of a fully functioning data processing system, those of ordinary skill in the art will appreciate that the processes of the present invention are capable of being distributed in the form of a computer readable medium of instructions in a variety of

15 forms and that the present invention applies equally regardless of the particular type of signal bearing media actually used to carry out the distribution. Examples of computer readable media include recordable-type media such a floppy disc, a hard disk drive, a RAM, and CD-ROMs and

20 transmission-type media such as digital and analog communications links.

The description of the present invention has been presented for purposes of illustration and description, but is not intended to be exhaustive or limited to the invention

25 in the form disclosed. Many modifications and variations will be apparent to those of ordinary skill in the art. The embodiment was chosen and described in order to best explain the principles of the invention, the practical application, and to enable others of ordinary skill in the art to

30 understand the invention for various embodiments with various modifications as are suited to the particular use contemplated.